

ISO/IEC 42001 Readiness Checklist

20 Questions

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Score your organization's AI governance maturity in 10 minutes

ISO 42001 Lead Implementer & Auditor

Rate each item: **YES** (in place) **PARTIAL** (some progress) **NO** (not started)

LEADERSHIP & POLICY				Clauses 4-5
	YES	PART	NO	
All AI systems in use or development have been identified	☐	☐	☐	
A documented AI policy is approved by top management	☐	☐	☐	
AI governance roles and responsibilities are formally assigned	☐	☐	☐	
An AI Governance Committee or oversight body is established	☐	☐	☐	
Interested parties and their AI requirements are documented	☐	☐	☐	

RISK & PLANNING				Clauses 6, 8.2-8.4
	YES	PART	NO	
AI risk assessments conducted for each AI system in scope	☐	☐	☐	
A documented AI risk register with treatment plans exists	☐	☐	☐	
AI system impact assessments completed for high-risk systems	☐	☐	☐	
AI objectives are defined, measurable, and monitored	☐	☐	☐	
A Statement of Applicability covers all Annex A controls	☐	☐	☐	

IMPLEMENTATION & OPERATIONS				Clauses 7-8
	YES	PART	NO	
AI lifecycle processes documented (design through retirement)	☐	☐	☐	
Data governance covers quality, bias detection, and lineage	☐	☐	☐	
Third-party AI systems assessed against governance requirements	☐	☐	☐	
Competence framework and training plan for AI roles exists	☐	☐	☐	
Documented information controlled with version management	☐	☐	☐	

ASSURANCE & IMPROVEMENT				Clauses 9-10
	YES	PART	NO	
Internal audit programme established for the AIMS	☐	☐	☐	
Management reviews conducted with documented outputs	☐	☐	☐	
Nonconformity and corrective action process is in place	☐	☐	☐	
AI governance KPIs defined and monitored	☐	☐	☐	
Evidence of continual improvement in AI governance exists	☐	☐	☐	

YOUR SCORE

Count your YES answers: _____ / 20

16-20 READY Strong foundation. Pursue certification.
10-15 PARTIAL Starter Kit closes gaps.

0-9 EARLY STAGE
Start with Gap Assessment & AI Policy.

What To Do Next

Your roadmap from assessment to implementation

1

Assess Your Current State

Use this checklist to identify gaps. Share with leadership to build urgency.

2

Build the Business Case

Frame AI governance: risk reduction, regulatory readiness, trust, efficiency.

3

Get the Implementation Toolkit

Starter Kit (\$197): Gap Assessment, AI Policy, Roadmap, Audit Checklist, SoA.

4

Build Your Knowledge

AI Governance for Business Leaders (\$497): 6 modules + certificate.

5

Get Expert Support

Visit tanujkhajuria.com or email hello@tanujkhajuria.com

Your Resources

ISO 42001 Starter Kit	tanuj22.gumroad.com/l/qxsskr	\$197
Executive Briefing Pack	Coming soon	\$49
AI Governance Masterclass	Coming soon	\$497
Complete Bundle	tanuj22.gumroad.com/l/qxsskr	\$697
Newsletter (Free)	tanujkhajuria.beehiiv.com	Free

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